IAPS Rec'd POTPLE 04 JAN 2008 SUBSTITUTE PTO-1390

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

15. A substitute specification.

16. A power of attorney and/or change of address letter.

ATTORNEY'S DOCKET NUMBER 08215-587US1 CEA-026566

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 10/538,775 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP03/09555 August 28,2003 December 10, 2002 TITLE OF INVENTION Plug-In Connection Device APPLICANT(S) FOR DO/EO/US Dieter Sauer Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 

An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 C.F.R. 1.76.

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.

19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

18. A second copy of the published international application under 35 U.S.C. 154(d)(4).

#### Rec'd PCT/PY DA JAN 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dieter Saucr

Art Unit : Unknown

Serial No.: 10/538,775

Examiner: Unknown

Filed

: June 10, 2005

Title

: PLUG-IN CONNECTION DEVICE

#### MAIL STOP MISSING PARTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed October 4, 2005 (copy enclosed), applicant as a large entity submits herewith the following:

- $\times$ Payment of the surcharge of \$130 for late filing of the declaration.
- A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63.
- A verified English translation of the application and payment of the \$130 fee  $\times$ required under 37 CFR §1.17(k).
- A check in the total amount of \$260 is attached.  $\boxtimes$

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

John F. Hayden

Reg. No. 37,640

Fish & Richardson P.C. 1425 K Street, N.W.

11th Floor

Washington, DC 20005-3500 Telephone: (202) 783-5070

Facsimile: (202) 783-2331

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U.S. APPLICATIO	APPLICATION NO. (if known, see 37 C.F.R. 1.5)  10/538,775  INTERNATIONAL APPLICATION NO.  PCT/EP03/09555		08215-587USI			
20. Other items or information:  Copy of Notice to File Missing Parts (2 pages)  Response to Notice to File Missing Parts of Application (1 page)  Petition for One-Month Extension of Time (1 page)  Check for \$380.00 for payment of filing late Oath/Declaration; Extension Fee; and English translation processing fee						
The following fees have been submitted					CALCULATIONS PTO USE ONLY	
21. Basic national fee (37 CFR 1.192(a))					\$0.00	
Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by the IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations					\$0.00	
23. Search fee (37 CFR 1.92(b))  If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$0.00	
International Searching Authority						
Total of 21, 22 and 23 =					\$0.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction RATE thereof (round up to a whole number)				
-100 =	/50=			X \$250	\$0.00	
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 C.F.R. 1.492(h)).					\$130.00	
CLAIMS	S NUM	BER FILED	NUMBER EXTRA	RATE	·	
Total Clair	ns	- 20 <del>=</del>	·	x \$50	\$0.00	
Independent C		- 3 =		x \$200	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$0.00	<u> </u>	
Applicant claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced by 1/2.			\$0.00 \$130.00			
SUBTOTAL =			\$130.00	· · · · · · · · · · · · · · · · · · ·		
Processing fee of \$130 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(i)) +			\$130.00			
TOTAL NATIONAL FEE =			\$260.00			
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +			\$0.00			
TOTAL FEES ENCLOSED =				*\$380.00		
*The amount of \$120 for payment of the Extension of Time is also included in the Total Fees				Amount to be refunded:	\$0.00	
					Amount to be charged:	\$0.00

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01 FC:1617 02 FC:1618 130.00 02 130.00 0P

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a. 🖾	A check in the amount of \$380.00 to cover the above fees is enclosed.					
ъ. 🗌	Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.					
с. 🛛	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.					
d. 🗆	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE.	NOTE. Where an appropriate time limit under 37 C.F.R. 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the International Application to pending status.					
SEND A	LL CORRESPONDENCE TO:		The '	Hange		
			SIGNATURE			
	PTO Customer No:	26171		John F. Hayden		
	•		NAME			
	,			37,640		
			REGISTRATION NU	MBER		
			•			

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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offices

P.O. Dox 1450
Alexandria, Vincinia 22313-1450
www.usptuguv

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/538,775 Dieter Sauer 08215-587US1

INTERNATIONAL APPLICATION NO.

PCT/EP03/09555

I.A. FILING DATE PRIORITY DATE

08/28/2003 12/10/2002

26171 FISH & RICHARDSON P.C. P.O. BOX 1022 MINNEAPOLIS, MN 55440-1022

CONFIRMATION NO. 7306 371 FORMALITIES LETTER \*OC000000017166777\*

\*OC000000017166777\*

Date Mailed: 10/04/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/10/2005
- Copy of the International Search Report filed on 06/10/2005
- Copy of IPE Report filed on 06/10/2005
- Preliminary Amendments filed on 06/10/2005
- Information Disclosure Statements filed on 06/10/2005
- Request for Immediate Examination filed on 06/10/2005
- U.S. Basic National Fees filed on 06/10/2005
- Priority Documents filed on 06/10/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
  missing items identified in this letter.





### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$200 for a Large Entity:

- \$130 Surcharge.
- \$130 for English translation surcharge required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/538,775	PCT/EP03/09555	08215-587US1	

FORM PCT/DO/EO/905 (371 Formalities Notice)